



OKLAHOMA ETHICS COMMISSION

PHONE: (405) 521-3451 • FAX: (405) 521-4905 • WEBSITE: WWW.ETHICS.OK.GOV

NOTICE OF REGULAR MEETING

The Ethics Commission of the State of Oklahoma will hold a regular meeting on Friday, January 27, 2017, at 1:00 o'clock p.m. The Commission will meet at the State Capitol Building, 2300 North Lincoln Boulevard, Room 206, Oklahoma City, Oklahoma.

AGENDA

1. Call to order and determination of quorum present. Chair Pettigrew.
2. Introductions and announcements. Ms. Kemp.
3. Request by Rae Rice to address the Commission regarding his experiences with the Commission.
Chair Pettigrew.
4. Consideration, discussion and possible final action on the Commission's official promulgated rules for Year 2017. Chair Pettigrew.
5. Consideration, discussion and possible action on minutes for regular meeting, public hearing and executive session held December 9, 2016. Chair Pettigrew.
6. Discussion of agency expenditures for December 1 through December 31, 2016. Chair Pettigrew.
7. Consideration, discussion and possible action on request for waiver of Ethics Rule 5, Lobbyist Registration and Reporting, based upon a preexisting relationship pursuant to Rule 5.25. Chair Pettigrew.
 - a. W-16-04, Request by Senator Lonnie Paxton for a waiver of rules for preexisting relationship with Mr. Trent Baggett.
8. Executive Director's Report. Ms. Kemp.
 - a. COGEL Conference
 - b. Legislation
 - c. Continuing Education Programs and Compliance
9. General Counsel's Report. Mr. Long.
 - a. Recent developments in ethics law
10. Consideration, discussion and possible action on closed/executive session to be held in the Commission offices, Room B-5 of the Capitol, authorized by Title 25 O.S.2011, § 307(B)(1)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified below and for the purpose of discussing the employment and resignation of General Counsel.

- a. **Statement by Attorney.** The information to be discussed in this agenda item requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions and disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.
 - b. Determination, pursuant to counsel's advice, to go into executive session in the Commission offices, Room B-5 of the Capitol. Chair Pettigrew.
 - c. Consideration and discussion on the following:
 - Case No. 2016-01, alleging violation of campaign finance rule 257:10-1-20
Oklahoma Ethics Commission v. Gus Blackwell, et al., Case No. CJ-2016-2342, District Court of Oklahoma County, Oklahoma
 - Case No. 2016-04, alleging violations of campaign finance Rule 2
 - Case No. 2016-05, alleging violations of campaign finance Rule 2
 - Case No. 2016-06, alleging violations of campaign finance Rule 2
 - Case No. 2016-07, alleging violations of campaign finance Rule 2
11. Action to return to open session. Chair Pettigrew.
12. Consideration, discussion and possible action on items considered in executive session. Chair Pettigrew.
- Case No. 2016-01, alleging violation of campaign finance rule 257:10-1-20
 - Case No. 2016-04, alleging violations of campaign finance Rule 2
 - Case No. 2016-05, alleging violations of campaign finance Rule 2
 - Case No. 2016-06, alleging violations of campaign finance Rule 2
 - Case No. 2016-07, alleging violations of campaign finance Rule 2
13. Consideration, discussion and possible action on resignation of Geoff Long as General Counsel effective February 28, 2017. Chair Pettigrew.
14. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9), is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Chair Pettigrew.
15. Adjournment. Chair Pettigrew.

-NEXT REGULAR MEETING-
FRIDAY, FEBRUARY 10, 2017 at 10:00 AM
OKLAHOMA STATE CAPITOL BUILDING
2300 NORTH LINCOLN BOULEVARD, ROOM 206
OKLAHOMA CITY, OKLAHOMA



OKLAHOMA ETHICS COMMISSION

PHONE: (405) 521-3451 • FAX: (405) 521-4905 • WEBSITE: WWW.ETHICS.OK.GOV

NOTICE OF REGULAR MEETING

The Ethics Commission of the State of Oklahoma will hold a regular meeting on Friday, February 24, 2017, at 10:00 o'clock a.m. The Commission will meet at the State Capitol Building, 2300 North Lincoln Boulevard, Room 206, Oklahoma City, Oklahoma.

AGENDA

1. Call to order and determination of quorum present. Chair Pettigrew.
2. Introductions and announcements. Ms. Kemp.
3. Consideration, discussion and possible action on minutes for the meeting and executive session held January 27, 2017. Chair Pettigrew.
4. Discussion of agency expenditures for January 1 through January 31, 2016. Chair Pettigrew.
5. Consideration, discussion and possible action on procedures for proposed amendments to the Ethics Rules.
6. Introduction of Ethics Rule Amendment 2018-01 regarding the timing of contributions by limited political action committees to candidate committees. Chair Pettigrew.
7. Request for advisory opinion. Mr. Long.
 - a. AOR-17-01, request from James C. Joseph, Oklahoma State Bond Advisor.
8. Executive Director's Report. Ms. Kemp.
 - a. Agency news
 - b. Legislation
 - c. Continuing Education Programs and Compliance
9. General Counsel's Report. Mr. Long.
 - a. Recent developments in ethics law
10. Consideration, discussion and possible action on limitations for individual contributions to candidates of \$2,700 for the 2018 primary, runoff primary and general election campaigns. Chair Pettigrew.
11. Consideration, discussion and possible action on closed/executive session to be held in the Commission offices, Room B-5 of the Capitol, authorized by Title 25 O.S.2011, § 307(B)(1)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the

purpose of discussing matters identified below and for the purpose of discussing the employment of General Counsel.

a. **Statement by Attorney.** The information to be discussed in this agenda item requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions and disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.

b. Determination, pursuant to counsel's advice, to go into executive session in the Commission offices, Room B-5 of the Capitol. Chair Pettigrew.

c. Consideration and discussion on the following:

Case No. 2014-10, allegations alleging violations of campaign finance reporting rules

Case No. 2016-01, alleging violation of campaign finance rule 257:10-1-20

Oklahoma Ethics Commission v. Gus Blackwell, et al., Case No. CJ-2016-2342, District Court of Oklahoma County, Oklahoma

Case No. 2016-04, alleging violations of campaign finance Rule 2

Case No. 2016-07, alleging violations of campaign finance Rule 2

Complaint C-17-01

Complaint C-17-02

Employment of General Counsel

12. Action to return to open session. Chair Pettigrew.

13. Consideration, discussion and possible action on items considered in executive session. Chair Pettigrew.

Case No. 2014-10, allegations alleging violations of campaign finance reporting rules

Case No. 2016-01, alleging violation of campaign finance rule 257:10-1-20

Case No. 2016-04, alleging violations of campaign finance Rule 2

Case No. 2016-07, alleging violations of campaign finance Rule 2

Complaint C-17-01

Complaint C-17-02

Complaint C-17-04

14. Consideration, discussion and possible action on employment of General Counsel effective March 1, 2017. Chair Pettigrew.

15. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9), is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Chair Pettigrew.

16. Adjournment. Chair Pettigrew.

**-NEXT REGULAR MEETING-
FRIDAY, APRIL 21, 2017 at 10:00 AM
OKLAHOMA STATE CAPITOL BUILDING
2300 NORTH LINCOLN BOULEVARD, ROOM 206
OKLAHOMA CITY, OKLAHOMA**



OKLAHOMA ETHICS COMMISSION

PHONE: (405) 521-3451 • FAX: (405) 521-4905 • WEBSITE: WWW.ETHICS.OK.GOV

NOTICE OF REGULAR MEETING

The Ethics Commission of the State of Oklahoma will hold a regular meeting on Friday, April 14, 2017, at 10:00 o'clock a.m. The Commission will meet at the State Capitol Building, 2300 North Lincoln Boulevard, in the Governor's Blue Room, Oklahoma City, Oklahoma.

AGENDA

1. Call to order and determination of quorum present. Chair Pettigrew.
2. Introductions and announcements. Ms. Kemp.
3. Consideration, discussion and possible action on minutes for the meeting and executive session held February 24, 2017. Chair Pettigrew.
4. Discussion of agency expenditures for February 1 through February 28, 2017, and from March 1, through March 31, 2017. Chair Pettigrew.
5. Consideration of Amendment 2018-01. This amendment modifies the Campaign Finance Rules in Rule 2 of the Constitutional Ethics Rules regarding the timing of contributions by limited political action committees to candidate committees.
 - a. Explanation of Amendment 2018-01 by Executive Director.
 - b. Comments by Commissioner Stocker
 - c. Public comment.
 - d. Comments and questions by Commissioners and staff.
6. Discussion and possible action on Amendment 2018-01 of the Constitutional Ethics Rules. Chair Pettigrew.
7. Request for advisory opinion 2017-02, request from Sharon Caldwell, at CMA Strategies. Ms. Preslar.
8. Request for advisory opinion 2017-01, request from James C. Joseph, Oklahoma State Bond Advisor. Ms. Preslar.
9. Executive Director's Report. Ms. Kemp.
 - a. Agency news
 - b. Legislation
 - c. Continuing Education Programs and Compliance

10. General Counsel's Report. Ms. Preslar.

a. Recent developments in ethics law

11. Consideration, discussion and possible action on closed/executive session to be held in the Commission offices, Room B-5 of the Capitol, authorized by Title 25 O.S.2011, § 307(B)(1)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified below.

a. **Statement by Attorney.** The information to be discussed in this agenda item requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions and disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.

b. Determination, pursuant to counsel's advice, to go into executive session in the Commission offices, Room B-5 of the Capitol. Chair Pettigrew.

c. Consideration and discussion on the following:

Case No. 2014-10, allegations alleging violations of campaign finance reporting rules

Case No. 2016-01, alleging violation of campaign finance rule 257:10-1-20

Oklahoma Ethics Commission v. Gus Blackwell, et al., Case No. CJ-2016-2342, District Court of Oklahoma County, Oklahoma

Case No. 2016-04, alleging violations of campaign finance Rule 2

Case No. 2016-07, alleging violations of campaign finance Rule 2

Complaint C-17-03

Complaint C-17-04

Complaint C-17-05

Complaint C-17-06

Complaint C-17-07

12. Action to return to open session. Chair Pettigrew.

13. Consideration, discussion and possible action on items considered in executive session. Chair Pettigrew.

Case No. 2016-04, alleging violations of campaign finance Rule 2

Case No. 2016-07, alleging violations of campaign finance Rule 2

Complaint C-17-03

Complaint C-17-04

Complaint C-17-05

Complaint C-17-06

Complaint C-17-07

14. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9), is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Chair Pettigrew.

15. Adjournment. Chair Pettigrew.

**-NEXT REGULAR MEETING-
FRIDAY, MAY 12, 2017 at 10:00 AM
OKLAHOMA STATE CAPITOL BUILDING
2300 NORTH LINCOLN BOULEVARD, ROOM 206
OKLAHOMA CITY, OKLAHOMA**



OKLAHOMA ETHICS COMMISSION

PHONE: (405) 521-3451 • FAX: (405) 521-4905 • WEBSITE: WWW.ETHICS.OK.GOV

NOTICE OF REGULAR MEETING AND PUBLIC HEARING

The Ethics Commission of the State of Oklahoma will hold a regular meeting and public hearing on Friday, May 12, 2017, at 10:00 o'clock a.m. The Commission will meet at the State Capitol Building, 2300 North Lincoln Boulevard, in Room 432A, Oklahoma City, Oklahoma.

Public Hearing: The purpose of the public hearing will be to consider possible amendments to Rule 2, the Campaign Finance Rules of the Constitutional Ethics Rules. The proposed amendments are on the Commission's website at www.ethics.ok.gov under the Ethics Laws, Guides & Forms tab. Copies of the proposed amendments are available at the Commission's office in Room B-5 of the State Capitol Building.

AGENDA

1. Call to order and determination of quorum present. Chair Pettigrew.
2. Introductions and announcements. Ms. Kemp.
3. Consideration of Amendment 2018-01. This amendment modifies the Campaign Finance Rules in Rule 2 of the Constitutional Ethics Rules regarding the timing of contributions by limited political action committees to candidate committees.
 - a. Explanation of Amendment 2018-01 by Executive Director.
 - b. Comments by Commissioner Stocker.
 - c. Public comment.
 - d. Comments and questions by Commissioners and staff.
4. Discussion and possible action on Amendment 2018-01 of the Constitutional Ethics Rules. Chair Pettigrew.
5. Consideration, discussion and possible action on minutes for the meeting and executive session held April 14, 2017. Chair Pettigrew.
6. Discussion of agency expenditures for April 1 through April 30, 2017. Chair Pettigrew.
7. Consideration and discussion of Advisory Opinion Requests:
 - a. AOR-2017-01, request from James C. Joseph, Oklahoma State Bond Advisor. Ms. Preslar.
 - b. AOR-2017-02, request from Sharon Caldwell, at CMA Strategies. Ms. Preslar.
8. Executive Director's Report. Ms. Kemp.
 - a. Agency news
 - b. Legislation

c. Continuing Education Programs and Compliance

9. General Counsel's Report. Ms. Preslar.

a. Recent developments in ethics law

10. Consideration, discussion and possible action on closed/executive session to be held in the Commission offices, Room B-5 of the Capitol, authorized by Title 25 O.S. Supp. 2016, § 307(B)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified below.

a. **Statement by Attorney.** The information to be discussed in this agenda item requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions and disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.

b. Determination, pursuant to counsel's advice, to go into executive session in the Commission offices, Room B-5 of the Capitol. Chair Pettigrew.

c. Consideration and discussion on the following:

Case No. 2016-04, alleging violations of campaign finance Rule 2

Case No. 2016-07, alleging violations of campaign finance Rule 2

Case No. 2017-04, alleging violations of conflicts of interest Rule 4

Case No. 2017-06, alleging violations of campaign finance Rule 2

Case No. 2017-07, alleging violations of campaign finance Rule 257 Chapter 10

Complaint C-17-05

Complaint C-17-08

Complaint C-17-09

11. Action to return to open session. Chair Pettigrew.

12. Consideration, discussion and possible action on items considered in executive session. Chair Pettigrew.

Case No. 2016-04, alleging violations of campaign finance Rule 2

Case No. 2016-07, alleging violations of campaign finance Rule 2

Case No. 2017-04, alleging violations of conflicts of interest Rule 4

Case No. 2017-06, alleging violations of campaign finance Rule 2

Case No. 2017-07, alleging violation of campaign finance Rule 257 Chapter 10

Complaint C-17-05

Complaint C-17-08

13. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9), is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Chair Pettigrew.

14. Adjournment. Chair Pettigrew.

**-NEXT REGULAR MEETING-
FRIDAY, JUNE 9, 2017 at 10:00 AM
OKLAHOMA STATE CAPITOL BUILDING
2300 NORTH LINCOLN BOULEVARD, ROOM 432A
OKLAHOMA CITY, OKLAHOMA**



OKLAHOMA ETHICS COMMISSION

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NOTICE OF REGULAR MEETING AND PUBLIC HEARING

The Ethics Commission of the State of Oklahoma will hold a regular meeting and public hearing on Friday, June 9th, 2017 at 10:00 o'clock a.m. The Commission will meet at the State Capitol Building, 2300 North Lincoln Boulevard, in Room 432A, Oklahoma City, Oklahoma.

Public Hearing: The purpose of the public hearing will be to consider draft advisory opinions for Advisory Opinion Requests 2017-01 and 2017-02. The requests and drafts to be discussed are on the Commission's website at www.ethics.ok.gov under the Ethics Laws, Guides & Forms tab. Comments will be accepted verbally and in writing. Written comments should be submitted to ethics@ethics.ok.gov or to 2300 N. Lincoln Blvd, Room B-5, Oklahoma City, OK 73105 prior to June 9, 2017. Copies of the proposed drafts are available at the Commission's office in Room B-5 of the State Capitol Building.

AGENDA

1. Call to order and determination of quorum present. Chair Pettigrew.
2. Introductions and announcements. Ms. Kemp.
3. Consideration, discussion and possible action on minutes for the meeting, hearing, and executive session held May 12, 2017. Chair Pettigrew.
4. Discussion of agency expenditures for May 1 through May 31, 2017. Chair Pettigrew.
5. Hearing on Advisory Opinion Request AOR-2017-01, request from James C. Joseph, Oklahoma State Bond Advisor, regarding Conflicts of Interest Rule 4 as applied to a member of the Council of Bond Oversight's ability to purchase bonds from a state governmental entity's bond issue. Ms. Preslar.
 - a. Explanation of draft opinions by General Counsel.
 - b. Comments and questions by Commissioners.
 - c. Public comment, including any written comment.
 - d. Comments and questions by Commissioners and staff.
6. Discussion and possible action on AOR-2017-01. Chair Pettigrew.
7. Hearing on Advisory Opinion Request AOR-2017-02, request from Sharon Caldwell, at CMA Strategies, regarding Campaign Finance Rule 2 as applied to the requirement for a year to be included as part of the name on re-usable campaign materials. Ms. Preslar.
 - a. Explanation of draft opinions by General Counsel.
 - b. Comments and questions by Commissioners.
 - c. Public comment, including any written comment.

- d. Comments and questions by Commissioners and staff.
- 8. Discussion and possible action on AOR-2017-02. Chair Pettigrew.
- 9. Executive Director's Report. Ms. Kemp.
 - a. Agency news
 - b. Continuing Education Programs and Compliance
 - c. Budget
- 10. Consideration, discussion and possible action on schedule for registration and administration fees for legislative liaisons, legislative lobbyists, executive lobbyists, lobbyist principals, political party committees, political action committees and candidate committees effective July 1, 2017. Ms. Kemp.
- 11. General Counsel's Report. Ms. Preslar.
 - a. Recent developments in ethics law
- 12. Consideration, discussion and possible action on closed/executive session to be held in the Commission offices, Room B-5 of the Capitol, authorized by Title 25 O.S. Supp. 2016, § 307(B)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified below.
 - a. **Statement by Attorney.** The information to be discussed in this agenda item requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions and disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.
 - b. Determination, pursuant to counsel's advice, to go into executive session in the Commission offices, Room B-5 of the Capitol. Chair Pettigrew.
 - c. Consideration and discussion on the following:
 - Case No. 2016-01, alleging violation of campaign finance rule 257:10-1-20
 - Oklahoma Ethics Commission v. Gus Blackwell, et al.*, Case No. CJ-2016-2342, District Court of Oklahoma County, Oklahoma
 - Case No. 2016-04, alleging violations of campaign finance Rule 2
 - Case No. 2016-07, alleging violations of campaign finance Rule 2
 - Case No. 2017-04, alleging violations of conflicts of interest Rule 4
 - Case No. 2017-06, alleging violations of campaign finance Rule 2

Case No. 2017-07, alleging violations of campaign finance Rule 257 Chapter 10

Complaint C-17-08

Complaint C-17-09

13. Action to return to open session. Chair Pettigrew.

14. Consideration, discussion and possible action on items considered in executive session. Chair Pettigrew.

Case No. 2016-04, alleging violations of campaign finance Rule 2

Case No. 2016-07, alleging violations of campaign finance Rule 2

Case No. 2017-04, alleging violations of conflicts of interest Rule 4

Case No. 2017-06, alleging violations of campaign finance Rule 2

Case No. 2017-07, alleging violation of campaign finance Rule 257 Chapter 10

Complaint C-17-08

Complaint C-17-09

15. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9), is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Chair Pettigrew.

16. Adjournment. Chair Pettigrew.

**-NEXT REGULAR MEETING-
FRIDAY, JULY 14, 2017 at 10:00 AM
OKLAHOMA STATE CAPITOL BUILDING
2300 NORTH LINCOLN BOULEVARD, ROOM 432A
OKLAHOMA CITY, OKLAHOMA**



OKLAHOMA ETHICS COMMISSION

PHONE: (405) 521-3451 • FAX: (405) 521-4905 • WEBSITE: WWW.ETHICS.OK.GOV

NOTICE OF REGULAR MEETING

The Ethics Commission of the State of Oklahoma will hold a regular meeting Friday, July 7th, 2017, at 10:00 o'clock a.m. The Commission will meet at the State Capitol Building, 2300 North Lincoln Boulevard, in Room 432A, Oklahoma City, Oklahoma.

AGENDA

1. Call to order and determination of quorum present. Chair Pettigrew.
2. Introductions and announcements. Ms. Kemp.
3. Consideration, discussion and possible action on minutes for the meeting, hearing, and executive session held June 9, 2017. Chair Pettigrew.
4. Discussion of agency expenditures for June 1 through June 30, 2017. Chair Pettigrew.
5. Consideration, discussion, and possible action on Resolution 2017-1 recognizing the exemplary service of E. Jo Pettigrew as a member of the Oklahoma Ethics Commission and wishing her well in her future endeavors. Vice Chair Hawkins.
6. Executive Director's Report. Ms. Kemp.
 - a. Agency news
 - b. Continuing Education Programs and Compliance
 - c. Budget
7. General Counsel's Report. Ms. Preslar.
 - a. Recent developments in ethics law
8. Consideration, discussion and possible action on closed/executive session to be held in the Commission offices, Room B-5 of the Capitol, authorized by Title 25 O.S. Supp. 2016, § 307(B)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified below.
 - a. **Statement by Attorney.** The information to be discussed in this agenda item requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions and disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.
 - b. Determination, pursuant to counsel's advice, to go into executive session in the Commission offices, Room B-5 of the Capitol. Chair Pettigrew.

c. Consideration and discussion on the following:

Case No. 2014-10, alleging violation of campaign finance Rule 257, Chapter 10

Case No. 2016-01, alleging violation of campaign finance Rule 257, Chapter 10

Oklahoma Ethics Commission v. Gus Blackwell, et al., Case No. CJ-2016-2342, District Court of Oklahoma County, Oklahoma

Case No. 2016-07, alleging violations of campaign finance Rule 257, Chapter 10 and Rule 2

Case No. 2017-07, alleging violations of campaign finance Rule 257 Chapter 10 and Rule 2

Case No. 2017-09, alleging violations of campaign finance Rule 2

Complaint C-17-08

Complaint C-17-10

9. Action to return to open session. Chair Pettigrew.

10. Consideration, discussion and possible action on items considered in executive session. Chair Pettigrew.

Case No. 2014-10, alleging violation of campaign finance Rule 257, Chapter 10

Case No. 2016-01, alleging violation of campaign finance rule 257, Chapter 10

Oklahoma Ethics Commission v. Gus Blackwell, et al., Case No. CJ-2016-2342, District Court of Oklahoma County, Oklahoma

Case No. 2016-07, alleging violations of campaign finance Rule 257, Chapter 10 and Rule 2

Case No. 2017-07, alleging violations of campaign finance Rule 257 Chapter 10 and Rule 2

Case No. 2017-09, alleging violations of campaign finance Rule 2

Complaint C-17-08

Complaint C-17-10

11. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9), is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Chair Pettigrew.

12. Adjournment. Chair Pettigrew.

**-NEXT REGULAR MEETING-
FRIDAY, AUGUST 11, 2017 at 10:00 AM
OKLAHOMA STATE CAPITOL BUILDING
2300 NORTH LINCOLN BOULEVARD, ROOM 432A
OKLAHOMA CITY, OKLAHOMA**



OKLAHOMA ETHICS COMMISSION

PHONE: (405) 521-3451 • FAX: (405) 521-4905 • WEBSITE: WWW.ETHICS.OK.GOV

NOTICE OF REGULAR MEETING

The Ethics Commission of the State of Oklahoma will hold a regular meeting Friday, August 11, 2017, at 10:00 o'clock a.m. The Commission will meet at the State Capitol Building, 2300 North Lincoln Boulevard, in Room 432A, Oklahoma City, Oklahoma.

NOTE: Following adjournment, interested Commissioners will tour with a representative of the Office of Management and Enterprise Services the areas under construction at the Capitol, including the location of the future Commission offices.

AGENDA

1. Call to order and determination of quorum present. Acting Chair Hawkins.
2. Introductions and announcements. Ms. Kemp.
3. Consideration, discussion and possible action on minutes for the meeting and executive session held July 7, 2017. Acting Chair Hawkins.
4. Discussion of agency expenditures for June 1 through June 30, 2017 and July 1 through July 31, 2017. Acting Chair Hawkins.
5. Introduction and discussion of proposal for drafting language for Ethics Rule Amendment 2018-02 sponsored by Commissioner Hawkins, relating to Rule 4, Conflicts of Interest, and Rule 5, Lobbyists. Ms. Kemp.
6. Executive Director's Report. Ms. Kemp.
 - a. Agency news
 - b. Continuing Education Programs and Compliance
7. General Counsel's Report. Ms. Preslar.
 - a. Recent developments in ethics law
8. Consideration, discussion and possible action on closed/executive session to be held in the Commission offices, Room B-5 of the Capitol, authorized by Title 25 O.S. Supp. 2016, § 307(B)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified below.
 - a. **Statement by Attorney.** The information to be discussed in this agenda item requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions and disclosure will seriously impair the ability of the Ethics Commission to process the claims or

conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.

b. Determination, pursuant to counsel's advice, to go into executive session in the Commission offices, Room B-5 of the Capitol. Acting Chair Hawkins.

c. Consideration and discussion on the following:

Case No. 2014-10, alleging violation of campaign finance Rule 257, Chapter 10

Case No. 2016-01, alleging violation of campaign finance Rule 257, Chapter 10

Oklahoma Ethics Commission v. Gus Blackwell, et al., Case No. CJ-2016-2342, District Court of Oklahoma County, Oklahoma

Case No. 2017-07, alleging violations of campaign finance Rule 257 Chapter 10 and Rule 2

Case No. 2017-09, alleging violations of campaign finance Rule 2

Complaint C-17-08

Complaint C-17-10

9. Action to return to open session. Acting Chair Hawkins.

10. Consideration, discussion and possible action on items considered in executive session. Acting Chair Hawkins.

Case No. 2014-10, alleging violation of campaign finance Rule 257, Chapter 10

Case No. 2016-01, alleging violation of campaign finance rule 257, Chapter 10

Oklahoma Ethics Commission v. Gus Blackwell, et al., Case No. CJ-2016-2342, District Court of Oklahoma County, Oklahoma

Case No. 2017-07, alleging violations of campaign finance Rule 257 Chapter 10 and Rule 2

Case No. 2017-09, alleging violations of campaign finance Rule 2

Complaint C-17-08

Complaint C-17-10

11. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9), is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Acting Chair Hawkins.

12. Announcement by Executive Director Kemp. Following adjournment, interested Commissioners will tour with a representative of the Office of Management and Enterprise Services the areas under construction at the Capitol, including the future Commission offices.
13. Adjournment. Acting Chair Hawkins.

**-NEXT REGULAR MEETING-
FRIDAY, SEPTEMBER 8, 2017 at 10:00 AM
OKLAHOMA STATE CAPITOL BUILDING
2300 NORTH LINCOLN BOULEVARD, ROOM 432A
OKLAHOMA CITY, OKLAHOMA**



OKLAHOMA ETHICS COMMISSION

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NOTICE OF SPECIAL MEETING AND PUBLIC HEARING

The Ethics Commission of the State of Oklahoma will hold a special meeting Thursday, October 5, 2017, at 10:00 o'clock a.m. The Commission will meet at the State Capitol Building, 2300 North Lincoln Boulevard, in Room **412-C**, Oklahoma City, Oklahoma.

Public Hearing: The purpose of the hearing will be to gather public comment concerning possible amendments to Rule 4, Conflicts of Interest, and Rule 5 Lobbyists, of the Constitutional Ethics Rules. The amendment(s) will establish a timeframe during which an individual who leaves state service is unable to lobby or act as a consultant to lobby in Oklahoma. Further, the amendment will restrict a former state officer or employee from representing others or acting as a consultant for another entity on a matter involving the agency he or she previously served.

AGENDA

1. Call to order and determination of quorum present. Acting Chair Hawkins.
2. Introductions and announcements. Ms. Kemp.
3. Swearing in of Commissioner Cathy Stocker. Acting Chair Hawkins.
4. Consideration of Amendment 2018-02. This amendment modifies Rule 4, Conflicts of Interest, and Rule 5, Lobbyist Rules, of the Constitutional Ethics Rules and establishes a timeframe for restrictions on certain activities of former state officers and employees regarding lobbying, consulting, and interacting with the State and the agency formerly served.
 - a. Explanation of Amendment 2018-02 by Executive Director.
 - b. Comments by Commissioner Hawkins.
 - c. Public comment.
 - d. Comments, questions, and discussion by Commissioners and staff.
5. Consideration, discussion and possible action on minutes for the meeting and executive session held August 11, 2017. Acting Chair Hawkins.
6. Discussion of agency expenditures for August 1 through August 31, 2017 and September 1 through September 30, 2017.
7. Executive Director's Report. Ms. Kemp.
 - a. Agency news
 - b. Special Session update

- c. Continuing Education Programs and Compliance
8. Consideration, discussion and possible action on closed/executive session to be held in the Commission offices, Room B-5 of the Capitol, authorized by Title 25 O.S. Supp. 2016, § 307(B)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified below.
 - a. **Statement by Attorney.** The information to be discussed in this agenda item requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions and disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.
 - b. Determination, pursuant to counsel's advice, to go into executive session in the Commission offices, Room B-5 of the Capitol. Acting Chair Hawkins.
 - c. Consideration and discussion on the following:
 - Case No. 2017-08, alleging violations of campaign finance Rule 2
 9. Action to return to open session. Acting Chair Hawkins.
 10. Consideration, discussion and possible action on items considered in executive session. Acting Chair Hawkins.
 - Case No. 2017-08, alleging violations of campaign finance Rule 2
 11. Nomination, consideration and action on election of Commission Chair for 2017-2018. Acting Chair Hawkins.
 12. Nomination, consideration and action on election of Commission Vice-Chair for 2017. Acting Chair Hawkins.
 13. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9), is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Acting Chair Hawkins.
 14. Adjournment. Acting Chair Hawkins.

-NEXT REGULAR MEETING-
FRIDAY, NOVEMBER 17, 2017 at 10:00 AM
OKLAHOMA STATE CAPITOL BUILDING
2300 NORTH LINCOLN BOULEVARD, ROOM 432A
OKLAHOMA CITY, OKLAHOMA



OKLAHOMA ETHICS COMMISSION

PHONE: (405) 521-3451 • FAX: (405) 521-4905 • WEBSITE: WWW.ETHICS.OK.GOV

NOTICE OF REGULAR MEETING AND PUBLIC HEARING

The Ethics Commission of the State of Oklahoma will hold a regular meeting Friday, November 17, 2017, at 10:00 o'clock a.m. The Commission will meet at the State Capitol Building, 2300 North Lincoln Boulevard, in Room 432A, Oklahoma City, Oklahoma.

Public Hearing: The purpose of the hearing will be to gather public comment concerning possible amendments to Rule 4, Conflicts of Interest, and Rule 5 Lobbyists, of the Constitutional Ethics Rules. The amendment(s) will establish a timeframe during which an individual who leaves state service is unable to lobby or act as a consultant to lobby in Oklahoma. Further, the amendment will restrict a former state officer or employee from representing others or acting as a consultant for another entity on a matter involving the agency he or she previously served.

AGENDA

1. Call to order and determination of quorum present. Acting Chair Hawkins.
2. Introductions and announcements. Ms. Kemp.
3. Consideration of Amendment 2018-02. This amendment modifies Rule 4, Conflicts of Interest, and Rule 5, Lobbyist Rules, of the Constitutional Ethics Rules and establishes a timeframe for restrictions on certain activities of former state officers and employees regarding lobbying, consulting, and interacting with the State and the agency formerly served.
 - a. Explanation of Amendment 2018-02 by Executive Director.
 - b. Comments by Commissioner Hawkins.
 - c. Public comment.
 - d. Comments, questions, and discussion by Commissioners and staff.
4. Discussion and possible action on Amendment 2018-02 of the Constitutional Ethics Rules. Acting Chair Hawkins
5. Introduction and possible discussion of Amendment 2018-03. This amendment modifies Rule 1 by clarifying calculation of filing dates and Rule 2, Campaign Finance, by cleaning up language in several Rules. Acting Chair Hawkins.
6. Introduction and possible discussion of Amendment 2018-04. This amendment modifies Rule 6, Complaints and Enforcement, by establishing Compliance Orders will be served electronically to the e-mail address on record with the Commission, and establishing final orders will be reduced to judgment by operation of law. Acting Chair Hawkins.

7. Request and possible discussion for the Commission to engage in Rule-making on Rule 2, Campaign Finance Rules to provide a “safe harbor” with regards to independent expenditures and electioneering communications. Acting Chair Hawkins.
8. Consideration, discussion and possible action on minutes for the regular meeting, public hearing and executive session held October 5, 2017 and the special meeting and public hearing held October 27, 2017. Acting Chair Hawkins.
9. Discussion of agency expenditures for the month of October 2017. Acting Chair Hawkins
10. Executive Director’s Report. Ms. Kemp.
 - a. Agency News
 - b. Special Session Update
 - c. Continuing Education Programs and Compliance
11. Consideration, discussion and possible action on closed/executive session to be held in the Commission offices, Room B-5 of the Capitol, authorized by Title 25 O.S. Supp. 2016, § 307(B)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified below.
 - a. **Statement by Attorney.** The information to be discussed in this agenda item requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions and disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.
 - b. Determination, pursuant to counsel’s advice, to go into executive session in the Commission offices, Room B-5 of the Capitol. Acting Chair Hawkins.
 - c. Consideration and discussion on the following:
 - Case No. 2017-07, alleging violations of campaign finance Rule 257 Chapter 10 and Rule 2
 - Complaint C-17-10
 - Complaint C-17-11
 - Complaint C-17-13
 - Complaint C-17-14
 - Complaint C-17-15

Action to return to open session. Acting Chair Hawkins.
12. Consideration, discussion and possible action on items considered in executive session. Acting Chair Hawkins.

Case No. 2017-07, alleging violations of campaign finance Rule 257 Chapter 10 and Rule 2

Complaint C-17-10

Complaint C-17-11

Complaint C-17-13

Complaint C-17-14

Complaint C-17-15

13. Nomination, consideration and action on election of Commission Chair for 2017-2018. Acting Chair Hawkins.
14. Nomination, consideration and action on election of Commission Vice-Chair for 2017-2018. Acting Chair Hawkins.
15. Consideration, discussion and possible action on 2018 meeting dates.
16. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9), is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Acting Chair Hawkins.
17. Adjournment. Acting Chair Hawkins.

**-NEXT REGULAR MEETING-
FRIDAY, DECEMBER 8, 2017 at 10:00 AM
OKLAHOMA STATE CAPITOL BUILDING
2300 NORTH LINCOLN BOULEVARD, ROOM 432A
OKLAHOMA CITY, OKLAHOMA**



OKLAHOMA ETHICS COMMISSION

PHONE: (405) 521-3451 • FAX: (405) 521-4905 • WEBSITE: WWW.ETHICS.OK.GOV

NOTICE OF REGULAR MEETING AND PUBLIC HEARING

The Ethics Commission of the State of Oklahoma will hold a regular meeting and public hearing Friday, December 8, 2017, at 10:00 o'clock a.m at the State Capitol Building, 2300 North Lincoln Boulevard, in Room 512A, Oklahoma City, Oklahoma. The meeting previously scheduled for Room 432A has been moved to 512A due to construction.

Public Hearing: There will be an opportunity for public comments concerning proposed Rule Amendments 2018-03 and 2018-04 as summarized in the agenda below. The full text of the Rule amendments may be found on the Commission website www.ethics.ok.gov under the “Ethics, Laws, and Guides” tab.

AGENDA

1. Call to order and determination of quorum present. Chair Hawkins.
2. Introductions and announcements. Ms. Kemp.
3. Consideration of Amendment 2018-02. This amendment modifies Rule 4, Conflicts of Interest, and Rule 5, Lobbyist Rules, of the Constitutional Ethics Rules and establishes a timeframe for post-employment restrictions on certain activities of former state officers and employees.
 - a. Explanation of Amendment 2018-02 by Executive Director.
 - b. Comments by Commissioner Hawkins.
 - c. Comments, questions, and discussion by Commissioners and staff.
4. Discussion and possible action on Amendment 2018-02 of the Constitutional Ethics Rules. Chair Hawkins.
5. Consideration of Amendment 2018-03. This amendment modifies Rule 1, Administration by clarifying calculation of filing dates and Rule 2, Campaign Finance, by cleaning up language in several Rules.
 - a. Explanation of Amendment 2018-03 by Executive Director.
 - b. Comments by Commissioner Long.
 - c. Public comment.
 - d. Comments, questions, and discussion by Commissioners and staff.
6. Discussion and possible action on Amendment 2018-03 of the Constitutional Ethics Rules. Chair Hawkins.

7. Consideration of Amendment 2018-04. This amendment modifies Rule 6, Complaints and Enforcement, by establishing Compliance Orders will be served electronically to the e-mail address on record with the Commission, and establishing final orders will be reduced to judgment by operation of law.
 - a. Explanation of Amendment 2018-04 by Executive Director.
 - b. Comments by Commissioner Stocker.
 - c. Public comment.
 - d. Comments, questions, and discussion by Commissioners and staff.
8. Discussion and possible action on Amendment 2018-04 of the Constitutional Ethics Rules. Chair Hawkins.
9. Status update for proposed amendment concerning a “safe harbor” regarding independent expenditures and electioneering communications. Chair Hawkins.
10. Consideration, discussion and possible action on minutes for the regular meeting, public hearing and executive session held November 17, 2017. Chair Hawkins.
11. Discussion of agency expenditures for the month of November 2017. Chair Hawkins.
12. Executive Director’s Report. Ms. Kemp.
 - a. Agency News
 - b. COGEL Conference
 - c. Special Session Update
 - d. Continuing Education Programs and Compliance
13. Consideration, discussion and possible action on closed/executive session to be held in the Commission offices, Room B-5 of the Capitol, authorized by Title 25 O.S. Supp. 2016, § 307(B)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified below.
 - a. **Statement by Attorney.** The information to be discussed in this agenda item requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions and disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.
 - b. Determination, pursuant to counsel’s advice, to go into executive session in the Commission offices, Room B-5 of the Capitol. Acting Chair Hawkins.
 - c. Consideration and discussion on the following:

Case No. 2017-07, alleging violations of campaign finance Rule 257 Chapter 10 and Rule 2

Case No. 2017-14, alleging violations of campaign finance Rule 257 Chapter 10 and Rule 2

Complaint C-17-10

Complaint C-17-11

Complaint C-17-13

Complaint C-17-15

Action to return to open session. Chair Hawkins.

14. Consideration, discussion and possible action on items considered in executive session. Chair Hawkins.

Case No. 2017-07, alleging violations of campaign finance Rule 257 Chapter 10 and Rule 2

Case No. 2017-14, alleging violations of campaign finance Rule 257 Chapter 10 and Rule 2
Complaint C-17-10

Complaint C-17-11

Complaint C-17-13

Complaint C-17-15

15. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9), is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Chair Hawkins.

16. Adjournment. Chair Hawkins.

**-NEXT REGULAR MEETING-
FRIDAY, JANUARY 12, 2018 at 10:00 AM
OKLAHOMA STATE CAPITOL BUILDING
2300 NORTH LINCOLN BOULEVARD, ROOM 432A
OKLAHOMA CITY, OKLAHOMA**

